

A Review of Recycling Methods for Lithium-Ion Batteries: Environmental and Economic Perspectives

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Abstract: The advent of lithium-ion batteries (LIBs) has helped in the successful development and wide deployment of smartphones, electric vehicles as well as renewable energy storage systems. Nevertheless, the expansion of this activity - LIB manufacturing is growing fast and worldwide but also emerging in Brazil- raises major concerns about their life-end management as well as environmental impacts. The present review discusses the ongoing recycling operations of LIBs and recent developments in methods used for the recycling of LIBs such as mechanical, pyrometallurgical, hydrometallurgical, and direct-recycling methodologies. This involves physical processes such as crushing and sorting to extract metals like lithium, cobalt, and nickel (amongst others) - a process that is called mechanical recycling. Pyrometallurgical methods involve high-temperature processes to extract metals, whereas hydrometallurgical routes use chemical solutions for selective metal leaching. This recycling is not just cobbling a dead battery together and calling it new but fits into the broader realm of the circular economy. It is important to consider their impacts on the environment by comparing energy efficiency, emissions reductions and resource recovery along these paths. LIB recycling practices in the EU, Asia-Pacific and North America are described based on selected case studies to showcase various regulatory frameworks and technological innovations. Technological and policy advancements are necessary for sustainable management (i.e., resource conservation, pollution prevention) of LIBs to support global circular economy aspirations that face dilemmas caused by economic non-viability and regulatory disharmony.

Keywords: Lithium-ion batteries, Recycling methods, Environmental impacts, Sustainability.

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I. Introduction

Over the years lithium-ion batteries (LIBs) have become an increasingly essential component of modern technology, used to power a wide assortment of devices: from consumer electronics like smartphones and laptops to larger scale applications in electric vehicles (EVs), as well as renewable energy storage systems [1]. LIBs are attractive to a wide range of applications because they offer high energy densities, long cycle lives and low self-discharge rates attributes thus reducing manufacturer costs. Attributes like these have made Li-ion batteries (LIBs) the leader in energy storage, pushing just as much if not more innovation and development advancements across many industries [2].

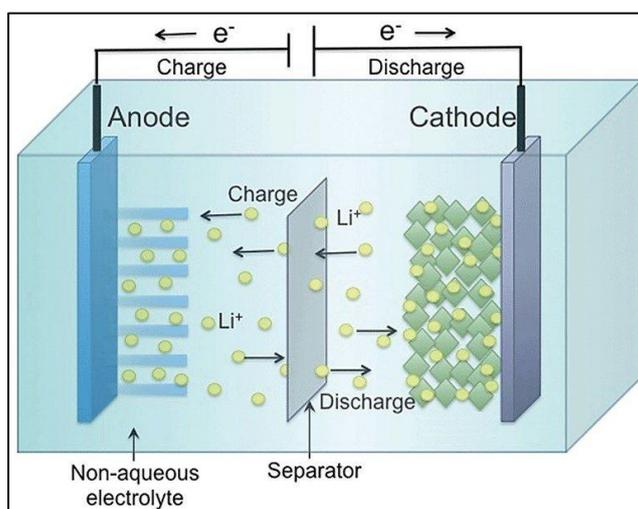


Figure 1: Lithium-Ion Battery [3]

Although lithium-ion batteries have several advantages, the fast increase in their production poses major difficulties, particularly issues related to end-of-life (EOL) management. The inevitable consequence of the huge increase in volumes pre-unprecedented expansion within portable electronics, followed by transportation and clean energy solutions has also led to the surge in LIBs being decommissioned due to various forms of age-based obsolescence.

Lithium-ion batteries, in particular, are dangerous to simply dispose of as they pose a considerable threat to the health and safety of humans. These batteries are made with electrolytes and heavy metals, like cobalt, nickel or manganese that can contaminate soil or water sources once thrown away. Moreover, LIBs can go thermal runaway - that means the battery gets so hot it starts to burn and thermally out of control during both disposal and handling. If we add to that the environmental consequences of LIB waste disposal together with the resource-guzzling and environmentally harmful processes that lithium or cobalt mining-extraction involve (habitat destruction, water pollution, a rise in greenhouse gas emissions) it becomes clear why sustainable recycling methods are urgently something more than another inevitable cliché for liberal agendas [4].

However, besides reducing these environmental risks recycling lithium-ion batteries has the potential to recover high-value materials which in turn offers significant economic benefits. The LIBs contain the important metal ingredients: lithium, cobalt, nickel and manganese which are vital for new battery production as well as other high-tech sectors. These resources are finite and their geographic distribution means they have strategic significance. Adopting effective recycling of used batteries can support diminishing the required on primary raw materials and decrease environmental footprints in battery production, with the security of supply chains for these vital materials [5].

But today, lithium-ion battery recycling is a messy affair in terms of how it's done. LIB recycling is a multi-faceted and complex process associated with a wide range of technical, economic, and regulatory barriers. LIBs, or lithium-ion batteries consist of multiple materials and components that must be carefully separated before the recovery of valuable metals can take place efficiently. Recycling is further complicated by the fact that there are a range of battery chemistries and designs. Economically it is a question of whether recycling makes sense in terms of current market prices for recovered materials and the efficiency/source-reducing nature/returns on investments in new innovation versus cost reductions. The recycling ecosystem is also influenced by regulation and policy, which establishes benchmarks for the economic responsibilities of producers, consumers and recyclers to manage e-waste in general [6].

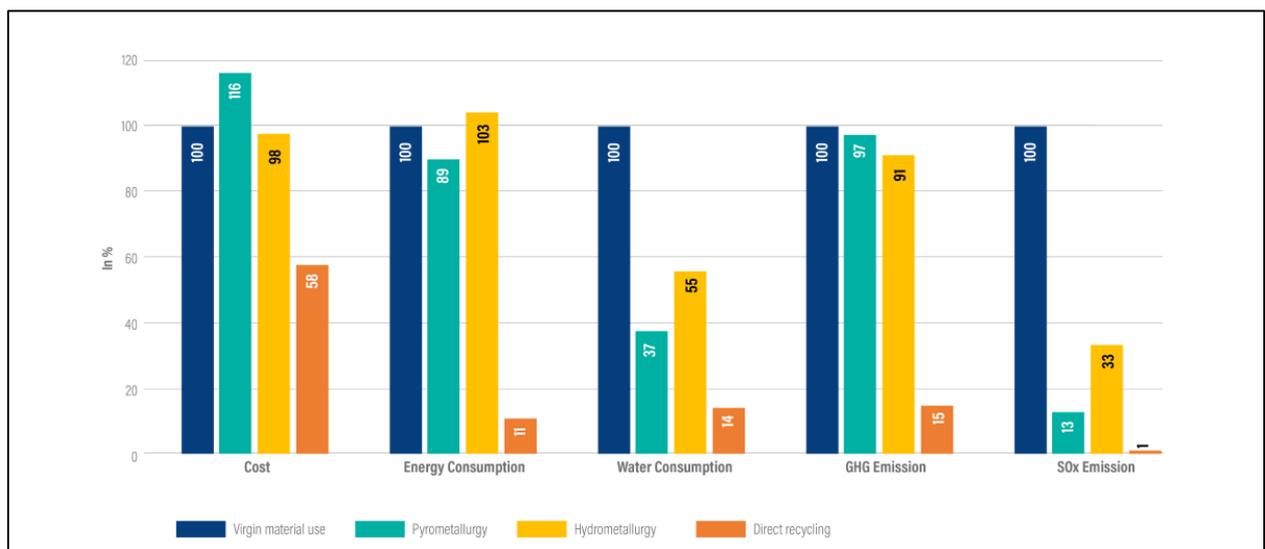


Figure 2: Comparison of Cost and Environmental Impact Different Recycling Technologies [7]

The aggregation approach of this review is to provide a comprehensive examination of the existing recycling technologies for lithium-ion batteries, focused on technical problems and recent progress. It will study the major recycling routes (mechanical, or industrial thermal) and diverts such as Mechanical/Industrial Thermal Processing, Hydrometallurgical Organometallic Catalyst Processors) examining how efficient they are in terms of effectiveness, environmental impact and economic perspective. These can be divided into mechanical processes (for physically separating battery components), pyrometallurgical processes (which rely on high-temperature treatments to recover metals) hydrometallurgical processes (such as chemical leaching and precipitation techniques) and finally, direct recycling which targets the re-use or conditioning of existing battery materials [8].

This review will also examine the regulatory framework and how policies/incentives contribute toward recycling practices. The series will delve into successful recycling programs and initiatives from around the world with case studies that offer insights on best practices, as well as lessons learned. In addition, future research and development based on novel technologies or methodologies aiming for better efficiency and sustainability of LIB recycling will be proposed in this review.

This review is presented to afford their understanding by the academic and scientific communities, so as to achieve improved recycling methods for lithium-ion technology with a view toward 2nd-life applications. All with the purpose of driving a transition toward a circular economy in which used products turn back into valuable resources instead of waste material that eventually makes its way through our environment. The results of this review will be relevant for researchers, policymakers, industry stakeholders and environmental advocates working to understand the challenges and opportunities serving a multitude of lithium-ion battery recycling.

II. Literature Review

The rising use of lithium-ion batteries (LIBs) in different fields has led to numerous investigations concerning their recycling. The literature review section summarizes the available information on different techniques used for the recycling of lithium-ion batteries, technological advancement in the LIB Recycling process along with its recent advancements and challenges faced by the industry for battery re-using or material recovery LIB [9], the key objective behind this study was to broadly show up-to-date research about: where do it stand in terms Lithium-Ion Battery (LIB) waste recyclability viewpoint.

2.1 Overview of Recycling Methods

2.1.1 Mechanical Recycling

One of the main investigated methods in literature is mechanical recycling. This process requires the physical disassembly of batteries, after which several methods like crushing, sieving and magnetic separation are used to extract useful materials. For example, according to research by the author [10] recovery of metals such as lithium and cobalt is performed with mechanical processes being most efficacious, as demonstrated by [11]. Yet these studies also underscore the constraints as to how energy-intensive it is and, perhaps even more critically, that recycling yields high-purity components but not pure materials.

2.1.2 Pyrometallurgical Recycling

Pyrometallurgical recycling methods encompass the high-temperature processing of metals contained in spent LIBs. This usually requires smelting and refining. According to [12, 13], pyrometallurgical methods are efficient if one is planning on a rapid recovery of cobalt, nickel and other useful metals from Li-ion battery collections. On the flip side, literature acknowledges major downsides like a form of energy-intensive usage and resulting in hazardous emissions as well as less Lithium recovery efficiencies when compared to other methods.

2.1.3 Hydrometallurgical Recycling

Hydrometallurgical recycling is based on the selective metal leaching from LIBs through aqueous chemistry. The main operations are leaching, solvent extraction and precipitation [14]. Another study by [15] confirms that hydrometallurgical methods are capable of high recovery rates and purity for metals such as lithium and cobalt. They suggest lower energy requirements and emissions are environmentally beneficial features of hydrometallurgy over pyrometallurgy in these studies as well. While challenges are faced, disposal of toxic waste with the ability to scale up this process requires immediate attention.

2.1.4 Direct Recycling

Direct recycling methods are centred on whole battery component recovery and reuse without decomposition into raw materials. This one is about the reconditioning, or recycling processes of battery cells. Studies by [16, 17] have the potential to expand LIB recycling during their end-of-life and material reuse, eliminating extraction needs of raw materials. You can read all about those cost savings and environmental benefits in these two studies but also the technical challenges to getting high-quality batteries with a long-life performance when they are reconditioned.

2.2 Technological Advancements

The latest research articles describe important progress in technological improvements related to the recycling of LIBs, which includes efficient and sustainable ways. Liu et al. presented innovative solutions in mechanical processes: automated disassembly and advanced sorting technologies [19]. They help drive a decrease in labour costs and an increase in the material recovery rate.

New furnace designs and cleaner smelting technologies are also being developed to help mitigate some of these environmental concerns in pyrometallurgy. Research by Gao et al. These include the higher efficiency electric arc furnaces, and plasma smelting covered in Debnath (2019). Finally, developments in hydrometallurgical processes

are also ongoing [20], where the synthesis of less selective and toxic leaching agents for material processing. The goal of these advancements is to limit chemical waste and increase the long-term sustainability of this process. Innovations in battery diagnostics and reassembly techniques are improving methods of direct recycling. Papers by [20, 21], the use of advanced diagnostic tools for battery health evaluation and reconditioning process design to enhance recycled cell performance and safety.

2.3 Challenges and Future Directions

However, while these are significant strides forward there are still some substantial hurdles lying in the way of a prosperous future for recycling within the LIB industry. The literature thus often reports on aspects like the general and economic effectiveness of processing/recycling, regulatory fracas as well as the need for standardization within this [secondary] industrial sector. For instance, differences in QOL scores assessed by [22] have revealed that it is essential for there to be government policies and incentives that promote recycling as well as ensure the safe handling of spent batteries.

Another challenge arises from the complexity of LIB chemistries and designs, as discussed in papers by [22]. However, these studies suggest there is a need for recycling technologies that are more capable of handling the variety of batteries currently on the market.

Areas of focus for future works include approaches towards the sustainable manufacturing of batteries wherein the recycling process of battery is integrated, closed-loop system reinforcement and identification as well as development in materials/chemistries that are easy to recycle [102]. Research by [23, 24] concluded that these methods may greatly improve the sustainability of recycling LiBs.

The literature available on lithium-ion battery recycling presents itself as a constantly evolving and emerging field, neither homogeneous nor fully characterized. Despite important progress in mechanical, pyrometallurgical, hydrometallurgical and direct recycling methods currently implemented for some critical metals initially using waste materials as their raw feedstock streams continuous research is required to ensure that economic technical regulatory constraints can be overcome. The move to more sustainable, efficient recycling methods will necessitate ongoing innovation and industry cooperation alongside enabling policy frameworks.

III. Recycling Methods

3.1 Mechanical Recycling

Mechanical recycling predominantly is used for the recovery of materials from lithium-ion batteries (LIBs) and relies on physical processes rather than chemical treatments. The process starts with crushing, which involves reducing the size of LIBs into small bits using specialized equipment. After this start, the following separation steps are easier because individual battery cells can be opened and structural parts fall apart.

After mincing it is sifted through various diameter filters. For example, sieving is used to classify electrode materials plastics and metals on the basis of their particle size. Magnetic separation is also applied to remove ferrous and non-ferrous metals from the shredder material. These tools work by attracting magnetic compounds such as cobalt, nickel and iron while leaving plastics and graphite unattached.

The separated materials are further processed with plastic shredding, which reduces the size of the material after separation to smaller particles. This step improves the efficiency of subsequent sortation by making the material for palate separation more precise. Sophisticated sorting technologies are particularly important in the last phase of mechanical recycling. Sensors and cameras placed in the optical sorting systems help recognize materials based on these properties, like color or shape etc. Eddy current separators push non-ferrous metals out of the waste stream via magnetic fields, further separating materials [25].

Mechanical reusing has various advantages. Pyro and hydrometallurgy consume substantially more energy, but the reactivity of organic solvents compared to ionic liquids enables their use as alternatives for part or all thermal processing steps. Despite this, recovering lithium-ion batteries can still present some process challenges because the materials have multiple compositions and achieving high purity levels of recovered substances is very difficult. Logistical issues associated with scaling up mechanical recycling processes to efficiently manage large volumes are also a hurdle that will need creative solutions.

New mechanical recycling technologies such as automated sorting and AI-based systems have improved the precision and due to this, there is a rise in efficiency. Advancements in shredding methods have further improved the material preparation and recovery process, thus playing a part in developing mechanical recycling practices.

3.2 Pyrometallurgical Recycling

Pyrometallurgical recycling is the process of high-temperature processing, which aims to remove valuable metals in lithium-ion batteries (LIBs). It starts with smelting, where the LIBs are roasted in a furnace. This thermal process is causing the melting of this battery stuff, and thus releasing metals (cobalt, nickel) including other non-metallic materials like plastics/graphite.

After smelting, the liquid metal is refined, removing contaminants to reach purity levels able for recycling or further processing. This is where refinement comes from processes like electrolysis or chemical treatments used to separate and extract metals.

Such as cobalt, nickel and copper pyrometallurgical process is considered to be a major method in the recycling of those metals from LIBs [26]. Their ability to achieve extremely high purity levels of recovered metals means they are able to deliver the quality feed material required for demanding applications. On the other hand, it is energy-intensive to produce because smelting requires high temperatures rendering its environmental performance not environmentally friendly.

Advancements in pyrometallurgical recycling, particularly to increase efficiency and minimise environmental impacts. Engineers have focused on maintaining energy efficiency and emission reductions by working towards more advanced furnace designs, such as electric arc furnaces or plasma smelting systems. Advanced emission control technologies have been made use of and they even help in improving performances as well, besides reduction being the catchword here to harmful pollutants coming out into our poor environment.

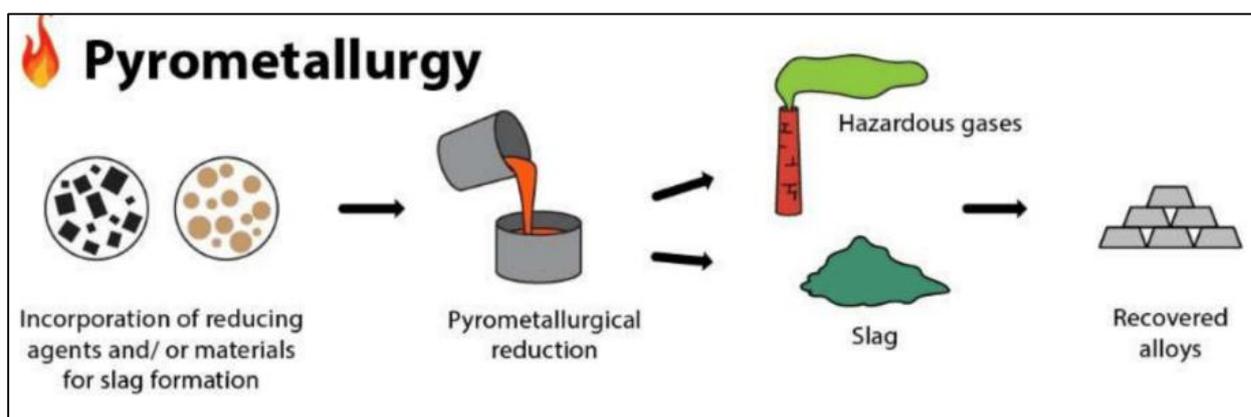


Figure 3: Pyrometallurgical Recycling

3.3 Hydrometallurgical Recycling

Hydrometallurgical recycling of LIBs relies on aqueous chemistry in order to selectively dissolve different metals from the battery. Leaching is the first stage of this: fragments from dismantled batteries are soaked in chemical solutions that dissolve lithium, cobalt and manganese. This can be written more technically as leaching agents containing acids or bases that dissolve the metal in solution.

Solvent extraction is then used to remove the metals from the leach solution based on chemical properties. This helps in the separation of different metals from the solution and can be subsequently purified by precipitation. This is performed by precipitation, where the solution has some API added and this will form a solid compound of metal that can be filtered away and then treated to recover pure metals.

The main advantage of the hydrometallurgical processes is their ability to recover metals selectively with a relatively small energy consumption compared to pyrometallurgy. But, these ways need expert handling of chemical discharge and effluents so environmental protection can be gained. This leads to the scope of research and development in hydrometallurgical recycling to be focused on, the optimization of leaching conditions as well as developing safer Leaching agents.

New progress has been made in the hydrometallurgical recycling area, which is oriented towards environmental and clean technological production. Advances in chemical processing are designed to minimize environmental impact and improve metal recovery efficiency [27].

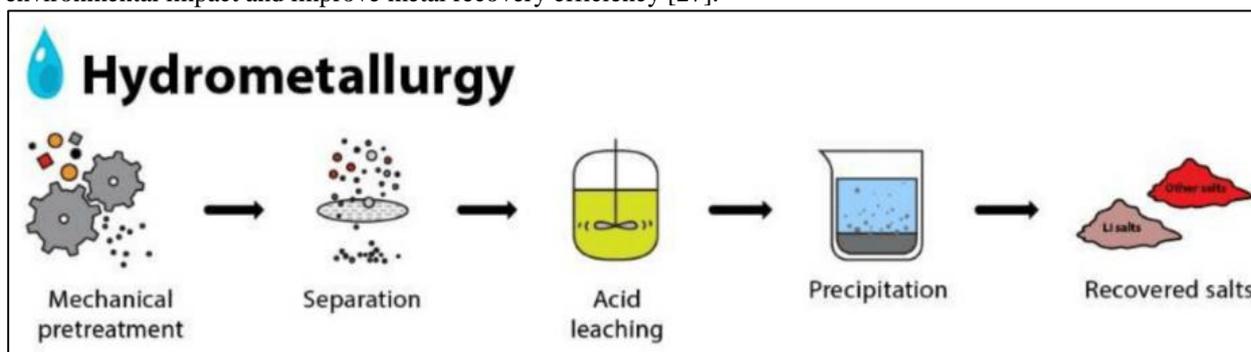


Figure 4: Hydrometallurgical Recycling

3.4 Direct Recycling

Direct recycling, reusing individual components of batteries without requiring them to be dismantled completely easy task for recycled goods made up of 20 different materials more likely in the short term as it matches principles laid out by proponents of a circular economy. This is done by reconditioning battery cells, where they (battery cells) are refreshed or tested for performance and safety. This step saves the reusable components once created for future use with a high-quality standard.

Reconditioned parts that pass inspection are put back together to create new battery modules. The Final Assembly: This has strict quality control to test the performance and reliability of recycled batteries. During testing, the batteries are tested to ensure they meet safety requirements and function correctly in different applications.

Direct recycling offers the benefit of less raw material being mined while increasing resource recovery since battery components have a longer lifecycle, he said. Challenges remain, not only in keeping battery performance constant but also in fulfilling safety requirements. This has led to complex chemistry in lithium-ion batteries that, combined with technology constraints for reconditioning them (see the following), require permanent research and development [28].

Technological development and current research on direct recycling also rely on the implementation of new diagnostic instruments compatible with battery ageing evaluation or mechanisms for automating reconditioning. The innovations increase the efficiency and reliability of lithium-ion battery recycling processes, helping to support sustainable material recovery practices.

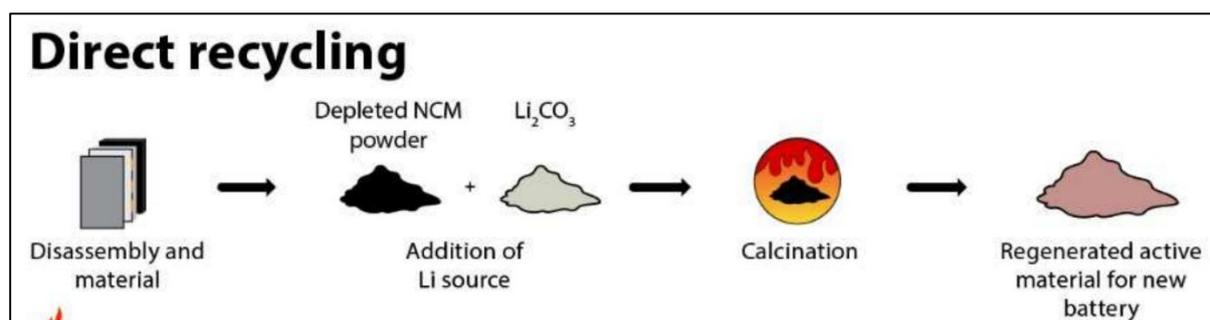


Figure 5: Direct Recycling

IV. Environmental Impacts and Sustainability Considerations

In modern society, lithium-ion batteries (LIBs) have been widely used to implement the rapid development of human life from mobile phones to electronic vehicles [29]. However, the use of composite material on all new aircraft has huge environmental implications, especially at end-of-life. Knowing the impact these have on our environment and finding ways to reduce it through sustainable recycling practices is critical if battery technology wants any chance at being a big part of the future.

4.1 Comparative Environmental Impact Analysis

Various methods of recycling lithium-ion batteries have a different environmental footprint in comparison with traditional disposal ways. It is thought that mechanical recycling has advantages over pyrometallurgical and hydrometallurgical processing because the latter requires substantial energy input, resulting in higher levels of emissions. He says the current approaches to recycling are broadly broken down into two types: mechanical and chemical, with mechanical involving less energy-intensive processes of shredding, sieving, or magnetic separation that can help retain its plastic properties.

In comparison to hydrometallurgical processes, pyrometallurgical recycling methods rely on high-temperature layouts that require the melting and then separation of battery components using a great deal more energy this fact can contribute greatly towards greenhouse wear out as well as emissions for particulate matter represented by means of Volatile Organic Compounds. However, over the years, furnace design and energy recovery systems have improved which has led to higher efficiency and lower environmental impacts [30].

Chemical leaching using solutions for the dissolution of metals from battery materials is called hydrometallurgical methods. Although these mechanisms can recover precious metals of lithium, cobalt and nickel at very high rates but are associated with proper disposal management of chemical waste as effluents which may result in environmental degradation. The correct method of treatment and recycling of the spent leach solutions are required to prevent environmental contamination due to toxic metals (As, Sb) leaking into soil, and water bodies so their concentration does not exceed the maximum permissible limit thus impacting human as well ecological health.

4.2 Sustainability Metrics and Criteria

Evaluating the prospects for different lithium-ion battery recycling methods also entails measuring a variety of metrics [31].

- **Energy Efficiency:** Ratio of energy used per unit mass or volume reclaimed In comparison with thermal (pyrometallurgical) and chemical (hydrometallurgical) processes, mechanical recycling in fact has lower energy requirements because of its non-energy-intensive nature.
- **Resource Recovery:** Proportion of valuable materials that are recovered from spent batteries, for example, the metals lithium-cobalt-nickel-manganese (LCNM) which is essential to manufacture new batteries and to reduce dependency on virgin resources.
- **Environmental Impact:** system analysis and mode for the recycling process to assess emissions, waste generation and resource depletion. Pyrometallurgical approaches might be associated with some fossil fuel consumption in turn, whereas hydrometallurgical treatments yield chemical waste that has to be properly taken care of.
- **Circular Economy Principles:** Consistency with circular economy principles to encourage the use of recycled materials into new battery production cycles for resource efficiency and waste prevention. The integration of circular economy principles, including battery recycling and innovation in key manufacturing processes that produce high emissions or waste such as electrolyte production cannot be overlooked.

4.3 Future Directions and Improvements

The recycling of lithium-ion batteries is expected to get a lot greener with some new innovations on the horizon.

- **Technological Innovations:** Cleaner and more efficient recycling technologies such as advanced sorting and separation techniques, technology breakthroughs (material recovery processes), etc.
- **Policy Initiatives:** Policymakers to support legislation and policies that enable a circular economy for battery recycling, especially Extended Producer Responsibility (EPR) mechanisms coupled with incentives related to designing sustainably and materials recovery.
- **Research Focus:** Further research is required on innovative recycling technologies such as bioleaching and electrochemical processes to maximise recovery of resources with minimum environmental impact.

V. Case Studies and Industry Practices

Lithium-ion battery recycling is far from a circular solution, but global attempts have already arrived at different approaches and solutions in efforts to promote sustainable development while minimizing environmental costs. Furthermore, the case studies illustrate high-performing practices and discuss issues from across different parts of the globe [32-35].

5.1 European Union

In the European Union (EU), one of the strictest environmental standards and Extended Producer Responsibility (EPR) frameworks have allowed major developments in battery recycling infrastructure. Based on the EU Battery Directive, it is to be expected that member states will establish a strong recycling infrastructure with generous collection and recovery goals. In Germany and Sweden, for example, well-functioning recycling facilities are already established. These measures are accompanied by public information campaigns and awards to promote the concepts of eco-design or material recovery.

Case Study: Germany Model of Collaboration Between Government and Industry, Californian Consumers With a high collection rate and sound recycling technology, the valuable materials in spent batteries can be well recycled so that more resources are saved from being depleted while environmental pollution stops reduced.

5.2 Asia-Pacific Region

Asia-Pacific nations are leading producers of batteries and battery recycling technologies, including China, Japan (which has also been highly innovative at a corporate level), South Korea etc. Globally, these countries have poured a substantial amount of money into becoming the leaders in municipalities trying to meet with rising demand for lithium-ion batteries that go towards electric vehicles (EVs) and renewable energy storage systems. While government initiatives are focused on the research and development it takes to make recycling technologies operate effectively, industry partnerships help fund progress towards material recovery as well as environmental stewardship.

Case Study: China, for its part, is mainly scaling up battery recycling infrastructure and enforcing stringent environmental regulations to prevent byproducts of the waste process. Japanese innovations focus on developing more efficient recycling technologies to increase resource recovery and reduce dependence on virgin materials.

5.3 North America

In North America, initiatives are focused on embedding of circular economy more in battery recycling trends. The U.S. Clean Energy Plan, as well as regional initiatives in Canada (a Zero-Emission Transportation Strategy supported by heavy investment from the government) mostly focus on creating a closed-loop recycling system so recovered materials can actually be used to make new batteries again. Government agencies work closely together with research institutions and an array of industry stakeholders to foster innovation as well as sustainable practices through the complete battery lifecycle.

Case Study: California is a hub of battery recycling innovation thanks to state-funded research centers and their industry partners working on advanced recycling technologies. These efforts will seek to recover more materials and make battery manufacturing (as well as disposal practices) greener.

From global case studies and industry practices in lithium-ion battery recycling as an essential step towards developing sustainable solutions through regulatory frameworks, technological innovation, and collaborative partnership. Stakeholders can learn from use cases and address all the challenges mentioned to drive continuous improvement in recycling technologies while working toward a circular economy for battery management.

VI. Conclusion

Lithium-ion batteries (LIBs) have transformed many aspects of our lives through their use in mobile phones, laptops, electric vehicles and storage systems for renewable energy. But their popularity has also led to new hurdles - such as what you do with them when they reach the end-of-life (EOL) stage. The increase in LIB production has inevitably resulted in an exponential growth of spent batteries, hence compelling the need for practical and sustainable recycling approaches.

The current work has undertaken a broad review of the LIB recycling landscape, covering mechanical, pyrometallurgical and hydrometallurgy as well as direct recycling approaches. Every approach has its pros and cons, factors ranging from energy usage or environmental impact to the type of materials extracted, and how they are recycled at all-market value. Fortunately, developments in technology like automated sorting systems and cleaner smelting processes offer potential avenues for improving recycling capabilities and shrinking our environmental footprints. LIB recycling is no exception to the environmental considerations that for the most part are top of mind. The contrast between recycling methods highlights some of the things that make it critical to choose processes with low energy intensity, emissions and waste generation. Balancing technological innovation with stewardship is only possible through sustainability, which will ultimately require implementing some level of circular economy principles and a strong regulatory framework.

That line of battery recycling will be on further display when market participants are hosted by Argus in Brussels later this month for the conference Metal-Recycling-Metals Recycling, featuring speakers from Aegis Advanced Energy Technologies and many other processors active today as well. This highlights the necessity of Cooperation in recycling technology and practices between Governments, Industries & Research Institutions. In the future, better and cleaner recycling processes should be developed [4], recovery of usable materials by optimizing methodologies overcome in each step properly then standardising guidelines slide to global. Emerging battery architecture and methods to recycle batteries will make a bigger difference when we speed towards a sustainable and circular economy.

Despite these challenges, the continued development of LIB recycling offers hope for reducing environmental effects saving crucial resources and advancing a renewable energy-dependent world. For lithium-ion battery recycling to become truly sustainable today, stakeholders will need to embrace innovation through collaboration and respectful competition that builds the path for us towards an environmentally responsible recovery of our dignified global materials.

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