

## Impact of Climate Change on the Distribution of Tropical Parasitic and Other Infectious Diseases

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**Abstract:** Climate change is occurring as a result of warming of the earth's atmosphere due to human activity generating excess amounts of greenhouse gases. Because of its potential impact on the hydrologic cycle and severe weather events, climate change is expected to have an enormous effect on human health, including on the burden and distribution of many infectious diseases. Important environmental (climate) factors influencing the transmission cycles include temperature, precipitation and humidity, as well as the transmission components which include pathogen, vectors, non-biological and non-human reservoirs. The infectious diseases that will be most affected by climate change include those that are spread by insect vectors and by contaminated water. The burden of adverse health effects due to these infectious diseases will fall primarily on developing countries, while it is the developed countries that are primarily responsible for climate change.

**Keywords:** Climate change; Transmission; Tropical parasitic diseases; Infectious diseases; Vector-borne diseases; water-borne diseases

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### I. Introduction

The projected global increase in the distribution and prevalence of infectious diseases with climate change suggest a pending societal crisis. The subject is increasingly attracting the attention of health professionals and climate-change scientists, particularly with respect to malaria and other vector-transmitted human diseases (Kevin, 2009, Van Lieshout, *et al.*, 2004, WHO, 1990 and Patz, *et al.*, 2000). Assuming current trends continue, significant global warming via the "greenhouse effect" seems inevitable; disequilibrium in physical and biological ecosystems will ensue, and the faster the changes occur the less likely it is that human (and other) societies will be able to adapt without serious resultant consequences. Natural climatic changes in the past have occurred over, thousands or millions of years; Global warming of 5000 to 15 000 years ago completely changed the face of the planet; this was, however, insidious unlike the rapid change which man-made 'global-warming' will precipitate (Cook, 1992, Leaf, 1989, Schneider, 1990 and WHO, 2010). Present predictions on extent and geographical distribution of climatic change are based on incomplete scientific projections coupled with a significant quota of crystal-ball gazing. Disturbance of habitats due to alterations in land cover or climatic change is considered to be the largest factor altering the risk of infectious diseases—for example, by affecting breeding sites of disease vectors or the biodiversity of vectors or reservoir hosts (Epstein *et al.*, 2003; Walsh *et al.*, 1993 and Pascual, 2005). The potential impact of climatic change on communicable disease patterns can be summarized: (i) modification of vector (usually arthropod) ecology - this relates principally to infections currently prevalent in tropical and subtropical regions, (ii) intensification of human-related risk factors - including reduced availability and quality of drinking water, cooking and sanitation facilities, extent of irrigation, etc., and (iii) an increase in soil, airborne and other diseases, directly related to the socioeconomic consequences of changed in human behavior (Cook, 1992; Auld, 2004; Bradley, 1988).

There is no doubt that we are presently experiencing progressive global warming due to the increased production of 'greenhouse gases'. Global surface temperatures increased by approximately 0.3- 0.6°C during the 20<sup>th</sup> century (Intergovernmental Panel on Climate Change, 2001; Watson *et al.*, 1995). There is evidence that this increase has anthropogenic causes (Barnett *et al.*, 2001; Levitus *et al.*, 2001). Land and sea surface temperatures on the one hand and atmospheric pressure gradients on the other hand drive winds and weather. Global warming and the attending changes of weather patterns may have considerable impact on the hydrological cycle (heavy rainfalls, floods, megastorms, heat waves, droughts, freshwater shortages) and the vulnerable biosphere (deforestation, desertification, coral bleaching). Special attention focused on the effects on biodiversity, human health and the distribution of infectious diseases (Harvell *et al.*, 1999, 2002; Intergovernmental Panel on Climate Change, 1995, 2001;). Amongst others, one threat is the increased exposure of man to vector- and water-borne diseases. As the global temperatures further increase, tropical insects may spread their habitats into more northern or southern latitudes and higher elevations followed by pathogen transmission. This intriguing idea was first suggested by Robert Shope (1991) and was taken further by several authors (Haines, 1991; Epstein *et al.*, 1993; Colwell, 1996; Patz, *et al.*, 1996, 2005). However, there is still a

debate whether the present emergence/reemergence (variation) of certain infectious diseases arose from climate change or from socioeconomic pressures (breakdown of public health infrastructure and insufficient disease-prevention strategies in the respective areas) in combination with perturbations due to ecological and demographic changes (Taubes, 1997; Gubler, 1998a; Reiter, 2001).

This review will attempt to summarize and highlight the conclusion that extreme weather events have a significant impact on the transmission of vector-borne and water-borne infectious diseases.

Broadly, infectious diseases may be classified into two categories based on the mode of transmission: those spread directly from person to person (through direct contact or droplet exposure) and those spread indirectly through an intervening vector organism (mosquito or tick) or a non-biological physical vehicle (soil or water). Infectious diseases also may be classified by their natural reservoirs: anthroponoses (human reservoir) or zoonoses (animal reservoir) Patz, *et al.*, 2000. Many infectious diseases of humans are transmitted by insect vectors, thus typically cannot be transmitted directly from person to person, hence result in a wide range of clinical illness (Shuman, 2011). Many vector-borne diseases such as malaria (which is a vector-borne disease), are also considered to be water-borne since transmission is associated with factors such as rainfall. The global health impact of vector-borne diseases, particularly malaria and dengue fever, is tremendous with Current estimate of about 300–500 million people worldwide developing malaria annually, of whom one million die (Schneider, 1990). Ninety percent of the deaths occur in Sub-Saharan Africa, and causes one out of every five childhood deaths in Africa. While malaria is an ancient human disease, dengue fever became widespread only in the middle of the last century (Hambrey, 1992). On the other hand around 50–100 million people are reported to be affected by dengue fever annually, with 500 000 developing the most severe form of the disease—dengue hemorrhagic fever. There are 22 000 deaths annually due to dengue fever, most of which occur in children. Many infectious diseases are transmitted by ingestion, inhalation, or contact with contaminated water (Gillett, 1981).

### **General Impact of Climate Change on Infectious Diseases**

Before humans understood that microorganisms caused epidemic diseases, they knew that these diseases were intimately related to climate. For example, ancient Romans retreated to cooler hillside resorts in the summer to avoid malaria (WHO, 2010). For those infectious diseases transmitted by insect vectors, we know that vectors are more active at higher temperatures. 10 Tropical species of mosquitoes such as *Anopheles* require temperatures above 16 °C to complete their life cycles, and malaria parasites are able to develop more rapidly within mosquitoes at higher temperatures (>20 °C). In the case of malaria due to *Plasmodium falciparum*, one mosquito can infect 200 individuals if temperature conditions are ideal, allowing for rapid spread of the disease. Vector-borne diseases such as malaria are also thought of as water-borne diseases, since mosquitoes typically thrive in aquatic habitats, where they lay their eggs in water-filled containers (Lafferty, 2009, Shuman, 2011). Thus, epidemics of malaria and dengue fever tend to occur annually during rainy seasons in the tropics and inter-annually after weather events. On the other hand, epidemics of the mosquito-borne West Nile virus infection can occur during times of drought. This happens because mosquitoes and birds—the primary hosts of the virus—are brought into close proximity at scarce water sources, enhancing transmission of the disease between mosquitoes and birds (and thus to humans). In addition, natural predators of mosquitoes are greatly reduced during times of drought as wetlands dry up (Shuman, 2011).

Like vector-borne diseases, water-borne diseases are also strongly impacted by climate, particularly the effect of climate on the hydrologic cycle. During times of drought, water scarcity results in poor sanitation and exposure of much of the population to potentially contaminated water. For example, an epidemic of cholera occurred in late 2009 in northern Kenya after a severe drought, with over 4700 cases reported in one month, including 119 deaths (Gettleman, 2009). Excess rainfall and flooding, like drought, can also contribute to epidemics of water-borne infectious diseases, in this case due to poor sanitation resulting from run-off from overwhelmed sewage lines or contamination of water by livestock. An example is the 1993 outbreak of diarrheal disease due to *Cryptosporidium* in Milwaukee, Wisconsin after heavy spring rains (Mackenzie, *et al.*, 1994). In this outbreak, there were over 403 000 reported cases, demonstrating how widespread diarrheal disease can become when community water sources become contaminated.

### **Disease classifications relevant to climate/health relationships**

Several different schemes allow specialists to classify infectious diseases. For clinicians who are concerned with treatment of infected patients, the clinical manifestation of the disease is of primary importance. Alternatively, microbiologists tend to classify infectious diseases by defining the characteristics of the microorganisms, such as viral or bacterial. For epidemiologists the two characteristics of foremost importance are the method of transmission of the pathogen and its natural reservoir, since they are concerned primarily with controlling the spread of disease and preventing future outbreaks (Nelson, 2000). Climate variability's effect on infectious diseases is determined largely by the unique transmission cycle of each pathogen. Transmission cycles

that require a vector or non-human host are more susceptible to external environmental influences than those diseases which include only the pathogen and human. Important environmental factors include temperature, precipitation and humidity. Several possible transmission components include pathogen (viral, bacterial, etc.), vector (mosquito, snail, etc.), non-biological physical vehicle (water, soil, etc.), non-human reservoir (mice, deer, etc.) and human host. Epidemiologists classify infectious diseases broadly as anthroponoses or zoonoses, depending on the natural reservoir of the pathogen; and direct or indirect, depending on the mode of transmission of the pathogen. The following is a description of each category of disease, discussed in order of probable increasing susceptibility to climatic factors.

## II. Directly transmitted diseases

### Anthroponoses

Directly transmitted anthroponoses include diseases in which the pathogen normally is transmitted directly between two human hosts through physical contact or droplet exposure. The transmission cycle of these diseases comprises two elements: pathogen and human host. Generally, these diseases are least likely to be influenced by climatic factors since the agent spends little to no time outside the human host. These diseases are susceptible to changes in human behaviour, such as crowding and inadequate sanitation that may result from altered land-use caused by climatic changes. Examples of directly transmitted anthroponoses include measles, TB, and sexually transmitted infections such as HIV, herpes and syphilis (Daszak, *et al.*, 1999, 2000 and 2001; Wilson, 2001).

### Zoonoses

Directly transmitted zoonosis, are similar to directly transmitted anthroponoses in that the pathogen is transmitted through physical contact or droplet exposure between reservoirs. However, these agents are spread naturally among animal reservoirs and the infections of humans are considered to be a result of an accidental human encounter. The persistence of these pathogens in nature is largely dependent on the interaction of the animal reservoir and external environment this can impact the rate of transmission, host immunity, rate of reproduction, and species death, rendering these diseases more susceptible to effects of climate variability. Hantavirus is a directly transmitted zoonosis that is naturally maintained in rodent reservoirs and can be transmitted to humans at times of increased local abundance of the reservoir. Rabies is another directly transmitted zoonosis that naturally infects small mammals, although with very little opportunity for widespread transmission, being highly pathogenic to its vertebrate host (WHO, 2010). Several of today's anthropogenic diseases, e.g. TB and HIV, originally emerged from animals.

### Indirectly transmitted diseases (anthroponoses & zoonoses)

Indirectly transmitted anthroponoses are a class of diseases defined by pathogen transmission between two human hosts by either a physical vehicle (e.g. soil) or a biological vector (e.g. tick). These diseases require three components for a complete transmission cycle: the pathogen, the physical vehicle or biological vector, and the human host. Most vectors require a blood meal from the vertebrate host in order to sustain life and reproduce. Indirectly transmitted anthroponoses include malaria and dengue fever, whereby the respective malaria parasite and the dengue virus are transmitted between human hosts by mosquito vectors (**vector-borne disease**). Indirectly transmitted **water-borne** anthroponoses are susceptible to climatic factors because the pathogens exist in the external environment during part of their life cycles (Daszak, *et al.*, 2001). Flooding may result in the contamination of water supplies or the reproduction rate of the pathogen may be influenced by ambient air temperatures. Cholera is an indirectly transmitted water-borne anthroponose that is transmitted by a water vehicle: the bacteria (*Vibrio cholerae*) reside in marine ecosystems by attaching to zooplankton. Survival of these small crustaceans in turn depends on the abundance of their food supply, phytoplankton. Phytoplankton populations tend to increase (bloom) when ocean temperatures are warm. As a result of these ecological relationships, cholera outbreaks occur when ocean surface temperatures rise. Indirectly transmitted zoonoses on the other hand are similar to indirectly transmitted anthroponoses except that the natural cycle of transmission occurs between non-human vertebrates: humans are infected due to accidental encounters with an infected vehicle or vector. This class of disease involves four components in the transmission cycle: the pathogen, biological vector or physical vehicle, animal reservoir, and human host. These diseases are highly susceptible to a combination of ecological and climatic factors because of the numerous components in the transmission cycle, and the interaction of each of these with the external environment. Complex cycles of disease transmission also exist for several diseases which cannot be classified simply by method of transmission or natural reservoir. Such a disease is Rift Valley fever where the virus is primarily a zoonotic disease, spread among vertebrate hosts by the mosquito species *Aedes*. Primarily under flood conditions, *Culex* mosquitoes may feed upon infected ungulate hosts. This vector is referred to as a bridge species because it feeds on humans also, resulting in spread of the virus outside its normal zoonotic cycle.

### **Climate sensitivities of infectious diseases**

Both the infectious agent (protozoa, bacteria, viruses, etc) and the associated vector organism (mosquitoes, ticks, sandflies, etc.) are very small and devoid of thermostatic mechanisms. Their temperature and fluid levels are therefore determined directly by the local climate. Hence, there is a limited range of climatic conditions—the climate envelope—within which each infective or vector species can survive and reproduce (WHO, 1990; 2010; Lipp, *et al.*, 2002). It is particularly notable that the incubation time of a vector-borne infective agent within its vector organism is typically very sensitive to changes in temperature, usually displaying an exponential relationship. Other climatic sensitivities for the agent, vector and host include level of precipitation, sea level elevation, wind and duration of sunlight (Patz, *et al.*, 2001; Shuman, 2011).

### **Seasonality of infectious disease**

Disease pattern may result from increased likelihood of transmission due to indirect social or behavioral adaptations to the cold weather such as crowding indoors. Another possibility is that it may be attributed directly to pathogen sensitivities to climatic factors such as humidity. In addition to influenza, several other infectious diseases exhibit cyclic seasonal patterns, which may be explained by climate (Bouma, *et al.*, 1996; 1997; Andrew, *et al.*, 2000).

In diverse regions around the world, enteric diseases show evidence of significant seasonal fluctuations. In Scotland, campylobacter infections are characterized by short peaks in the spring (Colwell, 1998). In Bangladesh, cholera outbreaks occur during the monsoon season (Colwell, 1996). In Peru, cyclospora infections peak in the summer and subside in the winter (Madico *et al.*, 1997). Similarly, some vector-borne diseases (e.g. malaria and dengue fever) also show significant seasonal patterns whereby transmission is highest in the months of heavy rainfall and humidity. Epidemics of other infections (e.g. meningococcal meningitis) tend to erupt during the hot and dry season and subside soon after the beginning of the rainy season in sub-Saharan Africa (Chua, *et al.*, 2002; Moore, 1992).

Seasonal fluctuations of infectious disease occurrence imply an association with climatic factors. However, to prove a causal link to climate, non-climatic factors must be considered. Furthermore, in order to assess long-term climate influences on disease trends, data must span numerous seasons and utilize proper statistics to account for seasonal fluctuations.

### **Vector-borne diseases**

Climatic factors variation effects in the transmission of vector-borne diseases include:

- Survival and reproduction rate of the vector
- Time of year and level of vector activity, specifically the biting rate
- Rate of development and reproduction of the pathogen within the vector (Kovats, *et al.*, 2001).

Vectors, pathogens, and hosts each survive and reproduce within certain optimal climatic conditions and changes in these conditions can modify greatly these properties of disease transmission. The most influential climatic factors for vector-borne diseases include temperature and precipitation but sea level elevation, wind, and daylight duration are additional important considerations (Graczyk, *et al.*, 2000).

### **Temperature sensitivity**

Extreme temperatures often are lethal to the survival of disease-causing pathogens but incremental changes in temperature may exert varying effects. Where a vector lives in an environment where the mean temperature approaches the limit of physiological tolerance for the pathogen, a small increase in temperature may be lethal to the pathogen. Alternatively, where a vector lives in an environment of low mean temperature, a small increase in temperature may result in increased development, incubation and replication of the pathogen (Lindsay and Birley, 1996; Bradley, 1993). Temperature may modify the growth of disease carrying vectors by altering their biting rates, as well as affect vector population dynamics and alter the rate at which they come into contact with humans. Finally, a shift in temperature regime can alter the length of the transmission season (Gubler, *et al.*, 2001). Disease carrying vectors may adapt to changes in temperature by changing geographical distribution. An emergence of malaria in the cooler climates of the African highlands may be a result of the mosquito vector shifting habitats to cope with increased ambient air temperatures (Cox, *et al.*, 1999). There is recent evidence to suggest that the pitcher-plant mosquito (*Wyeomyia smithii*) can adapt genetically to survive the longer growing seasons associated with climate change. Bradshaw and Holzapfel demonstrated this by documenting a change in the photoperiodic response between two different time periods in two populations of pitcher-plant mosquitoes. The change in response was correlated to a marked genetic shift within the mosquito species. A greater degree of micro evolutionary response was associated with mosquito populations inhabiting higher latitudes; the hypothesis is that because these populations have greater selection pressure they have also a greater ability to evolve genetically. Although this study was limited to one specific species of mosquito, it suggests that other mosquitoes, perhaps disease carrying vectors, may undergo an

analogous microevolution which would allow adaptation to altered seasonal patterns associated with global climate change (Bradshaw and Holzapfel, 2001).

### **Temperature effects on selected vectors and vector-borne pathogens**

#### *Vector*

- Survival can decrease or increase depending on species;
- Some vectors have higher survival at higher latitudes and altitudes with higher temperatures;
- Changes in the susceptibility of vectors to some pathogens e.g. higher temperatures reduce size of some vectors but reduce activity of others;
- Changes in the rate of vector population growth;
- Changes in feeding rate and host contact (may alter survival rate);
- Changes in seasonality of populations.

#### *Pathogen*

- Decreased extrinsic incubation period of pathogen in vector at higher temperatures
- Changes in transmission season
- Changes in distribution
- decreased viral replication.

### **Precipitation/Water sensitivity**

Increased variability in precipitation may increase the presence of disease vectors by expanding the size of existent larval habitat and creating new breeding grounds. In addition, increased precipitation may support a growth in food supplies which in turn support a greater population of vertebrate reservoirs. Unseasonable heavy rainfalls may cause flooding and decrease vector populations by eliminating larval habitats and creating unsuitable environments for vertebrate reservoirs. Alternatively, flooding may force insect or rodent vectors to seek refuge in houses and increase the likelihood of vector-human contact. Epidemics of leptospirosis, a rodent-borne disease, have been documented following severe flooding in Brazil (Ko, *et al.*, 1999). In the wet tropics unseasonable drought can cause rivers to slow, creating more stagnant pools that are ideal vector breeding habitats.

### **Effects of changes in precipitation on selected vectors and vector-borne pathogens**

#### *Vector*

- Increased rain may increase larval habitat and vector population size by creating new habitat
- Excess rain or snowpack can eliminate habitat by flooding, decreasing vector population
- Low rainfall can create habitat by causing rivers to dry into pools (dry season malaria)
- Decreased rain can increase container-breeding mosquitoes by forcing increased water storage
- Epic rainfall events can synchronize vector host-seeking and virus transmission
- increased humidity increases vector survival; decreased humidity decreases vector survival.

#### *Pathogen*

Few direct effects but some data on humidity effects on malarial parasite development in the anophelid mosquito host.

#### *Vertebrate host*

- Increased rain can increase vegetation, food availability, and population size
- Increased rain can cause flooding: decreases population size but increases human contact.

### **Humidity sensitivity**

Humidity can greatly influence transmission of vector-borne diseases, particularly for insect vectors. Mosquitoes and ticks can desiccate easily and survival decreases under dry conditions. Saturation deficit (similar to relative humidity) has been found to be one of the most critical determinants in climate/disease models, for example, dengue fever (Focks, *et al.*, 1995; Hales, *et al.*, 2002) and Lyme disease models (Mount, *et al.*, 1997).

### **Sea level sensitivity**

The projected rise in sea level associated with climate change is likely to decrease or eliminate breeding habitats for salt-marsh mosquitoes. Bird and mammalian hosts that occupy this ecological niche may be threatened by extinction, which would also aid the elimination of viruses endemic to this habitat (Reeves, 1994). Alternatively, inland intrusion of salt water may turn former fresh water habitats into salt-marsh areas which could support vector and host species displaced from former salt-marsh habitats (Reeves, 1994). Vector-borne pathogens spend part of their life-cycle in cold-blooded arthropods that are subject to many environmental

factors. Changes in weather and climate that can affect transmission of vector-borne diseases include temperature, rainfall, wind, extreme flooding or drought, and sea level rise.

### III. Water-borne diseases

Human exposure to water-borne infections can occur as a result of contact with contaminated drinking water, recreational water, coastal water, or food. Exposure may be a consequence of human processes (improper disposal of sewage wastes) or weather events. Rainfall patterns can influence the transport and dissemination of infectious agents while temperature can affect their growth and survival (Hall and Fauci, 2009; Rose, 2001). Most observed associations between climate and water-borne diseases are based on indirect evidence of seasonal variations. However, several studies provide quantitative evidence of water-borne diseases' links to climatic factors such as precipitation and ambient air temperature.

#### Temperature sensitivity

Increasing temperatures may lengthen the seasonality or alter the geographical distribution of water-borne diseases; in the marine environment, warm temperatures create favourable conditions for red tides (blooms of toxic algae) which can increase the incidence of shellfish poisoning (Epstein, 1993). Increasing sea surface temperatures can indirectly influence the viability of enteric pathogens such as *Vibrio cholerae* by increasing their reservoir's food supply (Colwell, 1996). Ambient air temperatures also have been linked to hospital admissions of Peruvian children with diarrhoeal disease (Checkly, *et al.*, 2000).

#### Precipitation sensitivity

Heavy rains can contaminate watersheds by transporting human and animal faecal products and other wastes. Evidence of water contamination following heavy rains has been documented for cryptosporidium, giardia, and *E. coli* (Atherholt, 1998; Parmenter, 1999). This type of event may be increased in conditions of high soil saturation due to more efficient microbial transport (Rose, 2001). At the other extreme, water shortages in developing countries have been associated with increases in diarrhoeal disease outbreaks that are likely attributed to improper hygiene (WHO, 1999).

### IV. Conclusion

Changes in infectious disease transmission patterns are a likely major consequence of climate change. We need to learn more about the underlying complex causal relationships, and apply this information to the prediction of future impacts, using more complete, better validated, integrated, models. In summary, climate change is a very real phenomenon which has already impacted the global distribution of infectious diseases. If climate change continues unabated, it is likely that the range of deadly diseases such as malaria will expand or shift, resulting in sickness and death as populations without pre-existing immunity are increasingly affected. It is our responsibility to take action now to prevent this from occurring. We must reduce greenhouse gas emissions by developing an international treaty, enacting legislation locally, and acting responsibly as individual citizens of the world. Finally, we must continue to seek answers as to how climate change will affect our most vulnerable populations, and we must do what we can to protect them.

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