Analyticity of Rank of Operators on A Banach Space

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ABSTRACT. If G(z) is an analytic family of operators on a Banach space which is of finite rank for each z, then rank G(z) is constant except for isolated points.

In this note we consider the analytic group G(z) of operators on a complex Banach space x, such that the rank of G(z) is finite for each z. We show that the rank of G(z) is constant on the domain of analyticity, unless for separated points.

Definition 1 Let X be a real vector space. The complexification of X is the complex vector space $X_{\mathbb{C}} := X \otimes \mathbb{C}$, with scalar multiplication defined by $\alpha(x \otimes \beta) := x \otimes \alpha\beta(\alpha\beta \in \mathbb{C})$

Lemma 1 If $G \in \mathbb{B}(x)$, then rank $G \geqslant N$ iff there exist bounded projections P and Q of dimension N such that PFQ has rank N.

Proof. If rank G < N, then rank $PGQ \leqslant rankG < N$. Conversely, if $rankG \geqslant N$, there are X_1, \ldots, x_N such that Gx_1, \ldots, Gx_N are linearly independent. If P projects on the span of Gx_1, \ldots, Gx_N and Q on the span of X_1, \ldots, x_N , then PGQ has rank N.

Now we show that If G(z) is analytic on a domain Ω and rank G(z) is finite for each z, then there is an integer n such that rank G(z) = n except at some points where $n \geq rankG(z)$.

Proof For each $k \leq 0$, let $E_{j-1} = \{z \in \Omega | rankG(z) \leq j-1\}$. Since $\Omega = \bigcup_{j=1}^{\infty} E_{j-1}, E_{j-1}$ is uncountable for some integer k, and so there is a smallest integer k such that k has a point of accumulation within k.

If P and Q are arbitrary projections with dim P = dimQ > n, then the determinant d(z) of PG(z)Q, computed with respect to fixed bases of Px and Qx, vanishes on E_n , and hence on all of Ω . Since P and Q are arbitrary, the following lemma satisfying $E_n = \Omega$. Since n is minimal, E(n-1) consists of isolated points.

This proof also shows that the rank of G(z) is determined by its values on any set with an accumulation point in Ω , and hence that no analytic continuation of G(z) can have rank exceeding n.

When we refer to the lemma we find that the norm and $rankG_n \leq n$, then $rankG \leq n$. For if P and Q have the same dimension exceeding m, then $detPGQ = \lim \det PG_nQ = 0$. The hypothesis of previous theorem can be weakened by assuming only that the set of points at which G(z) has finite rank is uncountable; however, it does not suffice to assume only that G(z) has finite

rank on a set with an accumulation point in Ω , for if G(z) is the infinite diagonal matrix G(z) with diagonal elements $a_1(z), a_2(z), a_3(z), \dots a_m(z)$

where $a_m(z) = (z-1)(z-1/2) \dots (z-1/m)$, then G(z) is analytic for |z| < 1, while rankG(1/n) = m - 1.

If $G \in \mathcal{B}(X)$ has finite rank, then we let $\beta(G)$ denote the operator norm of G and

$$\tau(G) = \inf \sum_{i=1}^{n} |x_i^*| |x_i|$$

where the infimum is taken over all representations $G = \inf \sum_{i=1}^{n} \langle x_i^*, \cdot \rangle x_i$ of G. τ is a norm, and

$$|trG \le \tau(F),$$
 (1)

$$B(G) \le \tau(G) \le \beta(G) \ rank \ G$$
 (2)

and

$$\tau(AG) \le B(A)\tau(G)$$
 for any A in $B(x)$. (3)

Theorem if G(z) is analytic and the rank of G(z) is finite for all z in Ω , then trG(z) is analytic, and $tr\frac{G(z)}{dz}=trG'(z)$

Proof. the rank $G(z) \leq n \leq \infty$ for some integer n. The rank of $D(z,h) = h^{-1}[F(z+h) - F(z)]$ cannot exceed 2n, so that $midh^{-1}[trG(z+h) - trG(z) - trG'(z)]$

$$= midtr(D(z,h) - G'(z)) \leqslant \tau(D(z,h) - G'(z))$$

$$\leqslant 4n\beta(D(z,h) - G'(z)).$$

But the final term tends to zero as $h \longrightarrow 0$, since G(z) is analytic in norm.

References

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Nahid H. k. Abdelgader "Analyticity of Rank of Operators on A Banach Space" IOSR Journal of Mathematics (IOSR-JM) 13.6 (2017): 65-66.