

Estimates in the Operator Norm

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Abstract: In this paper, we will obtain estimates of the distance between the q - k -eigenvalues of two q - k -normal matrices A and B in terms of $\|A - B\|$. Apart from the optimal matching distances.

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I. Introduction

In this paper, we will obtain estimates of the distance between the q - k -eigenvalues of two q - k -normal matrices A and B in terms of $\|A - B\|$. Apart the optimal matching distances $s(L, M)$ and $h(L, M)$. Note that $s(L, M)$ is the smallest number δ such that every element of L is within a distance δ of some element of M ; and $h(L, M)$ is the smallest number δ for which this as well as the symmetric assertion with L and M interchanged, is true.

We will use the notation $\sigma(A)$ for both the subset of the quaternion plane that consists of all the q - k -eigenvalues on $n \times n$ matrix A , and for the unordered n -tuple whose entries are the q - k -eigenvalues of A counted with multiplicity. Since we will be taking of the distances $s(\sigma(A), \sigma(B))$, $h(\sigma(A), \sigma(B))$ and $d(\sigma(A), \sigma(B))$, it will be clear which of the two objects is being represented by $\sigma(A)$.

II. Definitions And Some Theorems

Definition 2.1:

If L, M are closed subsets of a quaternion space H_n

$$\text{let } s(L, M) = \sup_{\lambda \in L} \text{dist}(\lambda, M) = \sup_{\lambda \in L} \inf_{\mu \in M} |\lambda - \mu|$$

Definition 2.2:

The Hausdorff distance between L and M is defined as

$$h(L, M) = \max(s(L, M), s(M, L))$$

Definition 2.3:

The $d(\sigma(A), \sigma(B))$ is defined as $d(\sigma(A), \sigma(B)) \leq \|A - B\|$ if either A and B are both q - k -Hermitian or one is q - k -Hermitian and other q - k -Skew-Hermitian.

Theorem 2.4:

Let A be q - k -normal and B an arbitrary matrix of same order of A . Then

$$s(\sigma(B), \sigma(A)) \leq \|A - B\|$$

Proof:

Let $\delta = \|A - B\|$. For proving the theorem, we have to show that if β is any q - k -eigenvalues of B , then β is within a distance δ of some q - k -eigenvalue α_j of A .

By applying a translation, we assume that $\beta = 0$. If none of the α_j is within a distance δ of this, then A^{-1} exists.

Since A is q - k -normal.

Therefore, $\|A^{-1}\| = \frac{1}{\max |\alpha_j|} < \frac{1}{\delta}$.

Hence, $\|A^{-1}(B - A)\| \leq \|A^{-1}\| \|B - A\|$
 $< \frac{1}{\delta} \delta$
 $= 1$

Since $B = A(I + A^{-1}(B - A))$, This show that B is invertible. Then but B could not have a zero q-k-eigenvalue.

Hence proved.

Corollary 2.5:

If A and B are $n \times n$ q-k-normal matrices then $h(\sigma(A), \sigma(B)) \leq \|A - B\|$.

Proof:

Since A and B are q-k-normal matrices of order $n \times n$.

Let $\sigma(A)$ and $\sigma(B)$ be set of all q-k-eigenvalues of A and B respectively.

$$s(\sigma(A), \sigma(B)) \leq \|A - B\| \tag{1}$$

and $h(\sigma(A), \sigma(B)) = \max(s(\sigma(A), \sigma(B)), s(\sigma(B), \sigma(A)))$.

From these two, one can conclude that $h(\sigma(A), \sigma(B)) \leq \|A - B\|$.

Remark 2.6:

For $n = 2$, the corollary 2.5 will lead to $d(\sigma(A), \sigma(B)) \leq \|A - B\|$.

Theorem 2.7:

For any two q-k-unitary matrices $d(\sigma(A), \sigma(B)) \leq \|A - B\|$.

Proof:

The proof will use the marriage theorem and above, Let $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$ and $\{\mu_1, \mu_2, \dots, \mu_n\}$ be the q-k-eigenvalues of A and B respectively.

Let Λ be any subset of $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$.

Let $\mu(\Lambda) = \{\mu_j : |\mu_j - \lambda_i| \leq \delta \text{ and } \lambda_i \in \Lambda\}$.

By the marriage theorem, the assertion would be proved if we show that $|\mu(\Lambda)| \geq |\Lambda|$.

Let $I(\Lambda)$ be the set at all points on the unit ball T that are within distance of some point of Λ . Then $\mu(\Lambda)$ contains exactly those μ_j that lie in $I(\Lambda)$.

Let $I(\Lambda)$ be written as a disjoint union of arcs I_1, \dots, I_r . For each $k; k < r$, let J_k be the arc contained in I_k all whose points at least distance from the boundary of I_k then $I_k = (J_k)_\epsilon$.

$$\text{We have } \sum_{k=1}^r m_A(J_k) \leq \sum_{k=1}^r m_B(I_k) = m_B(I(\Lambda))$$

But all the elements of Λ are in some J_k .

$$\Rightarrow |\Lambda| \leq |\mu(\Lambda)|$$

Similarly for, μ is a subset of $\{\mu_1, \mu_2, \dots, \mu_n\}$.

$$|\mu| \leq |\Lambda(\mu)|$$

$$|\Lambda - \mu| \leq |\Lambda| - |\mu|$$

$$|\Lambda - \mu| \leq |\Lambda(\mu) - \mu(\Lambda)|$$

$$[\because \lambda_i \in \sigma(A), \mu_j \in \sigma(B)]$$

$$\Rightarrow \max_{1 \leq i, j \leq n} |\lambda_i - \mu_j| \leq \|A - B\|$$

That is, $d(\sigma(A), \sigma(B)) \leq \|A - B\|$

Hence proved.

Remark 2.8:

There is one difference between theorem 2.7 and most of our earlier results of this type. Now nothing is said about the order in which the q-k-eigenvalues of A and B are arranged for the optimal matching. No canonical order can be prescribed in general.

Theorem 2.9:

Let A and B be q-k-normal matrices with q-k-eigenvalues $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$ and $\{\mu_1, \mu_2, \dots, \mu_n\}$ respectively. Then there exists a permutation σ such that

$$\|A - B\| \leq \sqrt{2} \max_{1 \leq j \leq n} |\lambda_j - \mu_{\sigma(j)}| \tag{2}$$

Proof:

Since A and B are q-k-normal matrices. So $A \otimes I$ and $I \otimes B$ are both q-k-normal and commute with each other. Hence $A \otimes I - I \otimes B$ is q-k-normal. The q-k-eigenvalues of this matrix are all the differences $\lambda_i - \mu_j; 1 \leq i, j \leq n$

$$\text{Hence } \|A \otimes I - I \otimes B\| = \max_{i, j} |\lambda_i - \mu_j|$$

Since q-k-eigenvalues of B are q-k-eigenvalues of B^T .

$$\begin{aligned} \text{So } \|A \otimes I - I \otimes B^T\| &= \max_{i, j} |\lambda_i - \mu_j| \\ \Rightarrow \|A - B\| &= \|A \otimes I - I \otimes B\| \\ &\leq \sqrt{2} \|A \otimes I - I \otimes B^T\| \end{aligned}$$

This is equivalent to (2)

$$\text{Therefore, } \|A - B\| \leq \sqrt{2} \max_{1 \leq j \leq n} |\lambda_j - \mu_{\sigma(j)}|$$

Hence proved.

Remark 2.10:

This is, in fact, true for all A, B and is proved below.

Theorem 2.11:

$$\text{For all quaternion matrices } A, B \quad \|A - B\| \leq 2 \|A \otimes I - I \otimes B^T\| \tag{3}$$

Proof:

We have to prove that for all x, y in H_n

$$\begin{aligned} |\langle x, (A - B)y \rangle| &\leq \sqrt{2} \|A \otimes I - I \otimes B^T\| \|x\| \|y\| \\ \text{Now, } |\langle x, (A - B)y \rangle| &= |\langle x, Ay - By \rangle| \\ &= |x^* Ay - x^* By| \\ &= |tr(Ayx^* - yx^* B)| \\ &\leq \|Ayx^* - yx^* B\|_1 \end{aligned}$$

This matrix $Ayx^* - yx^* B$ has rank atmost 2 so, $\|Ayx^* - yx^* B\|_1 \leq \sqrt{2} \|Ayx^* - yx^* B\|_2$.

Let \bar{x} be the vector whose components are the conjugates of the components of x . Then with respect to the standard basis $e_i \otimes e_j$ of $H_n \otimes H_n$, (i, j) -coordinate of the vector $(A \otimes I)(y \otimes \bar{x})$ is $\sum_k a_{ik} y_k \bar{x}_j$. This is also (i, j) -entry of the matrix Ayx^* . In the same way, the (i, j) -entry of yx^*B is the (i, j) -coordinate of the vector $(I \otimes B^T)(y \otimes \bar{x})$.

$$\begin{aligned} \text{Thus we have, } \|Ayx^* - yx^*B\|_2 &= \|(A \otimes I - I \otimes B^T)(y \otimes \bar{x})\| \\ &\leq \|A \otimes I - I \otimes B^T\| \|y \otimes \bar{x}\| \\ &= \|A \otimes I - I \otimes B^T\| \|x\| \|y\| \end{aligned}$$

Hence proved.

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